

On-going Progress Update and Disbursement Request and LFA On-going Progress Review and Disbursement Recommendation

In completing this report, please refer to the detailed "Guidelines for completing the PR "ongoing progress update and disbursement request", and LFA "ongoing progress review and disbursement recommendation"

During the lifetime of a grant, the Global Fund periodically disburses funds to the Principal Recipient (PR) based on demonstrated program performance and financial needs for the following period of implementation.

The PR's ongoing progress update and disbursement request (PU/DR) is both a progress report on the latest completed period of program implementation and a request for funds for the following period of implementation. Its purpose is to provide an update of the programmatic and financial progress of a Global Fund-financed grant, as well as an update on fulfillment of conditions precedent, management actions and other requirements. The PU/DR, alongside the Local Fund Agent (LFA) ongoing progress review and disbursement recommendation (short-form: LFA-verified PU/DR), forms the basis for the Global Fund's disbursement decision by linking historical and expected program performance with the level of financing to be provided to the PR.

One Excel file contains both the PR's PU/DR and the LFA-verified PU/DR. The PR should only complete the worksheets of the file pertaining to the PU/DR (the worksheet tabs color-coded in green), whereas the LFA should complete the worksheets of the file pertaining to the LFA-verified PU/DR (the worksheet tabs color-coded in blue). The Excel file also includes a reference checklist of supporting documents for the PU/DR review (the worksheet tab color-coded in yellow). This checklist is included for information and not for completion. The PU/DR should be completed by the PR of a Global Fund grant for every period in which a progress update is required, usually either on a quarterly, semiannual or annual basis, regardless of whether or not a disbursement is being requested. Once a year, the PR is expected to submit the Enhanced Financial Report (EFR) as part of the PU/DR (there is a dedicated tab for EFR in the Excel file).

The PR is required to submit the PU/DR to the LFA within 45 calendar days from the closing date of the relevant progress update period when the report does not contain the EFR (as indicated in the performance framework of Annex A of the grant agreement) and within 60 calendar days when the report contains the EFR (once a year).

The LFA should complete and submit a signed copy of the LFA-verified PU/DR to the Global Fund within ten working days after receiving the final signed version of the PU/DR from the PR and within 13 working days when the PU/DR report contains the EFR (once a year), unless agreed otherwise with the FPM (The LFA does not need to submit original/hard copies of each PU/DR reports. However, these documents should be available at the LFA's offices for any audit/reviews. Also, the LFA should be ready at all times to submit these originals to the Secretariat upon request). In this report the LFA should provide an analysis and comments based on verification of the PR-reported information, document grant risks and recommendations for improving program implementation, and finally, provide a performance rating to the grant and disbursement recommendation for the Global Fund's consideration. In defining the performance rating and recommending a disbursement amount, the LFA should use the Grant Rating Methodology of the Global Fund (as described in Annex 2 and communicated at various regional meetings and LFA training events) along with the Excel version of the Grant Rating Tool (to be provided to LFAs) to support the calculation of Indicator Rating.

Upon completion, this form should be submitted (with supporting documentation) to the Local Fund Agent and copied to the Global Fund.

On-going Progress Update and Disbursement Request

GENERAL GRANT INFORMATION

Country:	Belize, Costa Rica, Dominican Republic, El Salvador, Guatemala, Haiti, Honduras,
Disease:	Malaria
Grant Number:	QMG-M-PSI
Principal Recipient:	Population Services International
Program Start Date:	1-January 2014
Currency:	USD

PROGRESS UPDATE

Progress Update - Reporting Period:	Cycle:	Semester	Number:	2
Progress Update - Period Covered:	Beginning Date:	1-Jul-2014	End Date:	31-Dec-2014
Progress Update - Number:	1			

DISBURSEMENT REQUEST

Disbursement Request - Disbursement Period:	Cycle:	Annual	Number:	
Disbursement Request - Period Covered:	Beginning Date:	1-Jan-2015	End Date:	30-Jun-2015
Disbursement Request - Number:	1			

Section 1: Programmatic Progress

Note: The table below should contain those Impact/Outcome indicators that are (1) due for reporting during the current year of a grant and (2) those reporting on which is overdue from the previous periods.

A. Impact / Outcome Indicators									
Impact / Outcome	Indicator Description	Baseline (if applicable)		Year of Target	Intended Target	Report Due Date	Actual Result	Data Source of Results	Comments on results on Impact/Outcome indicators and data sources, and any other comments
		Value	Year						
Impact	Confirmed malaria cases (microscopy or RDT)- Belice	37.00	2012	2014	<70	-	19.00	Other (HMIS)	Preliminary Data
Impact	Confirmed malaria cases (microscopy or RDT)- Costa Rica	8.00	2012	2014	<50	-	6.00	Other (HMIS)	Preliminary Data
Impact	Confirmed malaria cases (microscopy or RDT)- El Salvador	21.00	2012	2014	<50	-	7.00	Other (HMIS)	Preliminary Data
Impact	Confirmed malaria cases (microscopy or RDT)- Panamá	844.00	2012	2014	<1400	-	733.00	Other (HMIS)	Preliminary Data
Impact	Confirmed malaria cases (microscopy or RDT)- Guatemala	5,346.00	2012	2014	<8100	-	4,931.00	Other (HMIS)	Preliminary Data
Impact	Confirmed malaria cases (microscopy or RDT)- Honduras	6,430.00	2012	2014	<8000	-	3,277.00	Other (HMIS)	Preliminary Data
Impact	Confirmed malaria cases (microscopy or RDT)- Nicaragua	1,235.00	2012	2014	<1050	-	1,131.00	Other (HMIS)	Preliminary Data
Impact	Confirmed malaria cases (microscopy or RDT)- Haiti	20,468.00	2012	2014	<29200	-	16,634.00	Other (Surveillance Systema)	Preliminary Data
Impact	Confirmed malaria cases (microscopy or RDT)- Dominican Republic	603.00	2012	2014	<525	-	496.00	Other (HMIS)	Preliminary Data
Select		-	-	-	-	-	-	Select	

On-going Progress Update and Disbursement Request

PROGRESS UPDATE PERIOD

Grant number:	QMG-M-PSI		
Progress Update - Reporting Period:	Cycle:	Semester	Number: 2
Progress Update - Period Covered:	Beginning Date:	1-Jul-2014	End Date: 31-Dec-2014
Progress Update - Number:	1		

Note: All programmatic indicators contained in the current Performance Framework should be listed, regardless of whether there are targets/results for the period covered by the Progress Update or whether the targets have been met in previous periods.

B. Programmatic Indicators

Objective No.	* Indicator No.	Indicator Description	Tied To	Targets cumulative?	Top 10 indicator?	Baseline (if applicable)		Intended Target to date	Actual Result to date	% achievement (Please calculate as appropriate)	Reasons for programmatic deviation from intended target and deviations from the related workplan activities
						Value	Year				
1	1	Confirmed malaria cases (microscopy or RDT)- Belice	National Program	N-not cumulative	Yes - Top 10	37.00	2012	<70	19.00	73%	
1	1	Confirmed malaria cases (microscopy or RDT)- Costa Rica	National Program	N-not cumulative	Yes - Top 10	8.00	2012	<50	6.00	88%	
1	1	Confirmed malaria cases (microscopy or RDT)- El Salvador	National Program	N-not cumulative	Yes - Top 10	21.00	2012	<50	7.00	86%	
1	1	Confirmed malaria cases (microscopy or RDT)- Panamá	National Program	N-not cumulative	Yes - Top 10	844.00	2012	<1400	733.00	48%	
1	1	Confirmed malaria cases (microscopy or RDT)- Guatemala	GF	N-not cumulative	Yes - Top 10	5,346.00	2012	<8100	4,931.00	39%	
1	1	Confirmed malaria cases (microscopy or RDT)- Honduras	GF	N-not cumulative	Yes - Top 10	6,430.00	2012	<8000	3,277.00	59%	
1	1	Confirmed malaria cases (microscopy or RDT)- Nicaragua	GF	N-not cumulative	Yes - Top 10	1,235.00	2012	<1050	1,131.00	-8%	
1	1	Confirmed malaria cases (microscopy or RDT)- Haiti	GF	N-not cumulative	Yes - Top 10	20,468.00	2012	<29200	16,634.00	43%	
1	1	Confirmed malaria cases (microscopy or RDT)- Dominican Republic	National Program	N-not cumulative	Yes - Top 10	603.00	2012	<525	496.00	6%	
			Select	Select	Select			-	-		
			Select	Select	Select			-	-		
			Select	Select	Select			-	-		
			Select	Select	Select			-	-		
			Select	Select	Select			-	-		
			Select	Select	Select			-	-		

* Indicator No. should correspond to the indicator number listed in the approved Performance Framework of the grant (1.1, 1.2, etc.)

C. Analysis of data quality and reporting issues

(I) This section should contain (1) a summary of issues related to data quality and reporting on programmatic indicators, and any relevant issues which are not covered in 'Reasons for programmatic deviation', and (2) remedial actions that are underway or planned to address these issues.

On-going Progress Update and Disbursement Request

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Progress Update - Number:	1		

Section 2: Grant Management

A. PR COMMENTS ON THE FULFILLMENT OF CONDITIONS PRECEDENT AND/OR SPECIAL CONDITIONS UNDER THE GRANT AGREEMENT

! Please include in this table the CP number as per Grant Agreement and full text of CPs and/or other special conditions due for fulfilment during this period or outstanding from previous periods.

! Some Special Conditions may apply to more than one period of grant implementation. Their fulfilment during one period does not automatically imply fulfilment in subsequent periods. The LFA should verify that the status of such conditions is reported by the PR during each period concerned.

Conditions Precedent and/or other special conditions	Status	PR Comments on Progress of Implementation
<p>1. Conditions precedent to First Disbursement</p> <p>The first disbursement of Grant funds by the Global Fund to the Principal Recipient is subject to the following conditions:</p> <p>a. The delivery by the Principal Recipient to the Global Fund of a letter signed by the Authorized Representative of the Principal Recipient setting forth the name, title and authenticated specimen signature of each person authorized to sign disbursement requests under Article 10 of the Standard Terms and Conditions of this Agreement and, in the event a disbursement request may be signed by more than one person, the conditions under which each may sign.</p> <p>b. The delivery by the Principal Recipient to the Global Fund of a document describing programmatic activities to be completed during the implementation period, which shall include specific completion dates for each of those activities (the "Work Plan").</p>	Met	
<p>Special Condition 1</p> <p>In accordance with the Standard Terms and Conditions of this Agreement, the Principal Recipient shall be responsible for the execution of the following activities:</p> <p>a. All activities included in the Work Plan referred to in Section B.1.b above;</p> <p>b. Management and monitoring of start-up funds allocated to Panama, Haiti, Belize, Honduras, Costa Rica and Dominican Republic, as approved in the detailed budget and work plan;</p> <p>c. Coordination with Global Fund and technical partners of the conditions for independent verification of impact indicator "number of confirmed malaria cases" reported for years 1, 2 and 3;</p> <p>d. Implementation of malaria specific regional planning sessions, meetings and trainings as per regional cross cutting action lines;</p> <p>e. Preparation of progress reports to the Regional Coordination Mechanism and the Global Fund, in particular:</p> <p>-The execution of funds and implementation of the Work Plan.</p> <p>-The reporting on the impact indicator "number of confirmed malaria cases" in years 1, 2 and 3.</p>	Unmet - In Progress	<p>a. Status of all activities for the reporting period:</p> <p>-Documentation of tax exemption and PR to inform GF- in progress, only El Salvador has not provided this. PR sends monthly reports to GF.</p> <p>-Auditor- BDO selected to conduct PSI global audit of all GF prime awards.</p> <p>-Conduct pre-award assessments, prepare and sign SR contracts- complete.</p> <p>-Supervise SR activities and financial and programmatic SR reports- in progress. The PR has completed orientation visits to all countries who received funds, collects monthly financial reports and quarterly progress reports and developed and implemented monitoring plan for implementation (see Annex B).</p> <p>-Establish Technical Advisory Group- in progress. The PR assisted the RCM in developing the terms of reference, attended the technical partner organized by Global Fund and PAHO, and continued to provide support and follow-up to the RCM, but did not receive donor comments during this reporting period. The PR expects that this group will be established in March, 2015.</p> <p>-Establish evaluation panel for verification- no longer applicable. Donor requested the PAHO develop verification process.</p> <p>-Coordinate with PAHO and countries on submission process- in progress. During orientation visits the PR has begun compiling information regarding the available data and systems in place. The PR expects the submission process to be established early 2015.</p> <p>-Tender for verification- No longer applicable. The donor requested that PAHO develop and complete the verification process.</p> <p>-Regional meeting to align national plans- completed.</p> <p>-Meeting with civil society- at request of RCM planned for February, 2015.</p> <p>-Procurements for SRs- in process. Pending SRs ability to absorb/obtain tax exemptions (see Annex B).</p> <p>-SRs implement startup funds- activities initiated in 4 of 6 countries (see Annex B). The PR expects the remaining two countries to initiate in January and February of 2015.</p> <p>b. The disbursements are completed and the management and monitoring of the startup funds is in process (see Annex B).</p> <p>c. No longer applicable. At the request of the donor, the verification process is being developed and will be implemented by PAHO. If permitted, once the verification process is released by PAHO the PR will assume the role of coordinating and compiling input from technical partners.</p> <p>d. First meeting held in October, 2014 and the second planned for February, 2015 (see Annex B).</p> <p>e. All applicable reports completed and shared.</p>
<p>Special Condition 3</p> <p>The Principal Recipient agrees and understands that no Grant funds shall be transferred by the Principal Recipient to any participating country until the Global Fund has issued the respective authorization notice.</p>	Met	Completed. All agreements were submitted to Global Fund for approval before funds were transferred

Section 2: Grant Management

Special Condition 11 The Principal Recipient shall submit the information on the total number of malaria cases, referred in Sections C.5 to C.10 above, together with supporting evidence whose adequacy will be determined by the Global Fund in its sole discretion.	Unmet - In Progress	The elements around this condition are part of the verification protocol under preparation and to be discussed with the PR and partners. PAHO and the Global Fund have been working on this protocol together and the PR is waiting for it to be shared.
	Select	
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	Select	

Section 2: Grant Management

B. PR REVIEW OF PROGRESS ON IMPLEMENTATION OF OUTSTANDING MANAGEMENT ACTIONS FROM PREVIOUS DISBURSEMENTS

! Please list all issues raised in the last Management Letter from the Global Fund or outstanding from previous Management Letters, and comment on the progress. Please include the date of the management letter and the item number.

Global Fund Management Actions	PR Comments on Progress of Implementation
<p>Management Letter: 30-May-2014 6. Funds for start-up activities We strongly recommend to the PR to work even more actively in order to reach and finalize the bilateral contracts with in country counterparts and start confirming in writing with each applicable country on specifications for the equipment and materials to be procured in a centralized way.</p>	<p>Complete. There was a lack of understanding surrounding the complex process required to sign a contract with a Government, particularly when requiring tax exemptions and strict donor regulations. Thus, the time and effort required to achieve these signatures was severely underestimated. The PR, throughout the entire process of reaching and finalizing these agreements, followed-up with each of the countries on a weekly basis in order to check progress and offer support. In order to ensure that the last two countries (El Salvador and the Dominican Republic) signed by the end of the reporting period, the PR offered multiple times to visit in person to accelerate the process, and did visit the Dominican Republic (see Annex B).</p>
<p>Management Letter: 30-May-2014 7. Sub-Recipient Awards We strongly recommend to the PR to simplify the assessment methodology as a way to streamline the process of entering into bilateral agreements with the involved SR where this simplified process can be applied taking into consideration that the Secretariat has prepared an assessment for these countries/implementers in the past. The aim of this is to accelerate disbursements to Haiti, the Dominican Republic and as applicable, other countries.</p>	<p>Complete- the pre-assessments for all countries were completed on schedule (by July 31). For those countries which had an assessment that had not expired (valid for 18 months), no further assessment was required for the disbursements. The completion of these pre-assessments on schedule was due to the efforts of the PR to support the countries in this process including sending examples, answering questions, and calling and following-up. The PR found that most countries were able to complete the pre-assessment within 1-2 weeks.</p>
<p>Management Letter: 30-May-2014 8. PSI Team We recommend the PR to update us and gear up toward the recruitment of all other staff for the team, including the selection of the Technical Malaria Expert.</p>	<p>Completed- the team has been completely staffed since July, 2014.</p>
<p>Management Letter: 30-May-2014 9. Technical Assistance We would kindly request the PR to make contact with the RCM and to request to inform countries (including the Mexican authorities) about the possible change in the RCM leadership as well as the key activities to be implemented until the end of 2014.</p>	<p>Completed- the PR made this request of the RCM and the PR continues to support the RCM in coordinating all technical assistance.</p>
<p>Management Letter: 30-May-2014 10. Audit Report We recommend the PR start getting acknowledged about the Audit guidelines published in the following link under "Finance and Audit": http://www.theglobalfund.org/en/documents/operational/</p>	<p>Completed- the PR has begun to familiarize itself with these requirements.</p>
<p>Management Letter: 30-May-2014 11. Enhance Financial Reports (EFR) The EFR has to be submitted along with the first Progress Update/Disbursement Request form (PU/DR) next year on 15th February 2015.</p>	<p>Submitted</p>
<p>Management Letter: 30-May-2014 PR costs at HR and Field level We kindly request the PR to submit this information by 30th June 2014.</p>	<p>Completed- the PR has sent the projection of the use of funds for the period.</p>

C. PR COMMENTS ON ANNUAL GRANT REPORTING REQUIREMENTS

! Please indicate a date for the report due for submission. If a report is overdue, indicate the original due date and explain the reason for delay.

Required Documentation	Due date (dd-mmm-yy)	Status	Comments
PR Audit Report	30-Jun-16	Preparation on track	Global Fund confirmation that the project audit will be delayed until 2016.
Enhanced Financial Reporting (EFR)	15-Feb-15	Submitted to GF	

Section 2: Grant Management

On-going Progress Update and Disbursement Request

PROGRESS UPDATE PERIOD

Grant number:	QMG-M-PSI		
Progress Update - Reporting Period:	Cycle:	Semester	Number: 2
Progress Update - Period Covered:	Beginning Date:	1-Jul-2014	End Date: 31-Dec-2014
Progress Update - Number:	1		
Currency:	USD		

Section 3A: Total PR Cash Outflow

! For RCC grants the cumulative section of the table below should contain cumulative amount from the start of the RCC and not from the start of Phase 1 of the program.

	Budget for Reporting Period	Actual Cash Outflow for Reporting Period	Variance	Reason for Variance	Cumulative Budget through period of Progress Update	Cumulative Actual Cash Outflow through period of Progress Update	Variance	Reason for Variance
1. Total PR cash outflow vs. budget	1,302,027.00	616,611.15	685,415.85		1,758,441.34	656,743.21	1,101,698.13	
1a. PR's total expenditures	560,780.00	284,070.81	276,709.19	The request of the RCM to delay the civil society meeting until 2015 (budgeted \$45,680), the inability of the PR to complete the purchases for Costa Rica and Panama due to their lack of ability to obtain tax exemptions (budgeted \$131,757), and the program administration costs associated with the implementation of the subawards (budgeted \$51,053) are primarily responsible for the low burn rate of the PR, which is 51%.	893,207.25	324,202.87	569,004.38	In addition to the reasons discussed in the prior column, the delayed start to the project (contract was not signed until March 2014 and the PR did not receive funds until May 2014) led to significant delays in the implementation of the activities planned for January-June, 2014.
1b. Disbursements to sub-recipients	741,247.00	332,540.34	408,706.66	Delays in finalizing and signing agreements and the implementation of activities by subaward recipients (see Annex B) led to an extremely low burn rate of the SRs (3% of funds disbursed).	865,234.09	332,540.34	532,693.75	Same as prior column

	Budget for Reporting Period*	Actual Cash Outflow for Reporting Period	Variance	Reason for Variance	Cumulative Budget through period of Progress Update	Cumulative Actual Cash Outflow through period of Progress Update	Variance	Reason for Variance
2. Total pharmaceutical & health product expenditures vs. budget	56,500.08	-	56,500.08		56,500.08	-	56,500.08	
2a. Medicines and pharmaceutical products		-	-			-	-	
2b. Health products and health equipment	56,500.08	-	56,500.08	Delay in signing of subaward. In compliance with request of SR, the PR is ready to make purchases in-country rather than complete purchases internationally and send them as donations, but the SR does not have a process allowing the PR to make tax exempt purchases on their behalf in-country.	56,500.08	-	56,500.08	Same as prior column

SECTION 3B: MALARIA FINANCIAL REPORTING FORM

Country	Belize, Costa Rica, Dominican Republic, El
Grant No.	QMG-M-PSI
PR	Population Services International
Currency	USD

PLEASE REFER TO THE "GUIDANCE FOR COMPLETION OF THE ENHANCED FINANCIAL REPORTING TEMPLATE" DOCUMENT TO ASSIST YOU IN COMPLETING THE TEMPLATE TO BE COMPLETED ONLY ONCE A YEAR EXCEPT AT MONTH 18 FOR PURPOSES OF PHASE 2 REVIEW

		dd-mm-yyyy
Current Reporting Period	Start Date:	1-Jan-2014
	End Date:	31-Dec-2014

		dd-mm-yyyy
Cumulative Reporting Period	Start Date:	1-Jan-2014
	End Date:	31-Dec-2014

The end date for the current reporting period and cumulative reporting period must be the same

The "TOTAL" rows in Table A, B and C will have a RED background if the amounts in each table do not agree. If the Totals for each Table agrees, these rows will have a YELLOW background.

A- BREAKDOWN* BY EXPENDITURE CATEGORY		Current Reporting Period				Cumulative Reporting Period			
#	Category	Budget	Expenditures	Variance	Reason for Variance	Cumulative Budget	Cumulative Expenditure	Variance	Reason for Variance
1	Human Resources	301,809.40	148,538.16	153,271.23	Delayed initiation of project- funds only received May 2014	301,809.40	148,538.16	153,271.23	Delayed initiation of project
2	Technical Assistance	898,680.90	81,976.01	816,704.89	Delayed initiation of project and request of RCM to delay meetings until 2015	898,680.90	81,976.01	816,704.89	Delayed initiation of project and request of RCM to delay meetings until 2015
3	Training								
4	Health Products and Health Equipment	56,500.08		56,500.08	No tax exemptions in countries receiving purchases	56,500.08		56,500.08	No tax exemptions in receiving countries
5	Medicines and Pharmaceutical Products								
6	Procurement and Supply Management Costs								
7	Infrastructure and Other Equipment	189,800.00	79,146.34	110,653.66	No tax exemptions in countries receiving purchases	189,800.00	79,146.34	110,653.66	No tax exemptions in receiving countries
8	Communication Materials	90,431.00		90,431.00	Delayed initiation of project and delays in implementation of subaward activities	90,431.00		90,431.00	Delayed initiation of project and delays in implementation of subaward activities
9	Monitoring & Evaluation	110,183.44	14,371.54	95,811.90	Delayed initiation of project and delays in implementation of subaward activities	110,183.44	14,371.54	95,811.90	Delayed initiation of project and delays in implementation of subaward activities
10	Living Support to Clients/Target Populations								
11	Planning and Administration	111,036.53	10,335.32	100,701.21	Delayed initiation of project	111,036.53	10,335.32	100,701.21	Delayed initiation of project
12	Overheads								
13	Other								
TOTAL		1,758,441.34	334,367.37	1,424,073.97		1,758,441.34	334,367.37	1,424,073.97	

B- BREAKDOWN* BY PROGRAM ACTIVITY				Current Reporting Period				Cumulative Reporting Period			
#	Macro-category	Objectives	Service Delivery Area	Budget	Expenditures	Variance	Reason for Variance	Cumulative Budget	Cumulative Expenditure	Variance	Reason for Variance
Module 1	Supportive Environment		Supportive environment: Program management and administration	317,904.67	189,519.18	128,385.49	Delayed initiation of project- funds only received May, 2014	317,904.67	189,519.18	128,385.49	Delayed initiation of project- funds only received May, 2014
Module 2	Supportive Environment		Supportive environment: M&E	95,512.50	16,100.52	79,411.99	Delayed initiation of project- funds only received May, 2014	95,512.50	16,100.52	79,411.99	Delayed initiation of project- funds only received May, 2014
Module 3	Prevention		Prevention: other - Specific prevention interventions	244,790.00	44,900.70	199,889.30	Delayed initiation of project- funds only received May, 2014	244,790.00	44,900.70	199,889.30	Delayed initiation of project- funds only received May, 2014
Module 4	Health System Strengthening (HSS)		HSS: other - case management	1,100,234.17	83,846.98	1,016,387.19	Delayed initiation of project- funds only received May, 2014 and delays in signing and implementing subawards	1,100,234.17	83,846.98	1,016,387.19	Delayed initiation of project- funds only received May, 2014 and delays in signing and implementing subawards
	Please Select...		Please select...								
TOTAL				1,758,441.34	334,367.37	1,424,073.97		1,758,441.34	334,367.37	1,424,073.97	

To add additional rows, right click the row number (Row 39 in a blank template) to the left of the row above the row for TOTAL and select copy, then over the same number, right click again and select Insert Copied Cells. **WARNING:** Inserting Rows without copying a row as described above will cause the formula in the variance column to become invalid and will mean the overall information will be inaccurate.

C- BREAKDOWN* BY IMPLEMENTING ENTITY				Current Reporting Period				Cumulative Reporting Period			
#	PR/SR	Name	Type of Implementing Entity	Budget	Expenditures	Variance	Reason for Variance	Cumulative Budget	Cumulative Expenditure	Variance	Reason for Variance
	PR	PSI	NGO/CBO/Academic	893,207.25	324,202.87	569,004.38	Delayed initiation of the project- funds only received in May 2014	893,207.25	324,202.87	569,004.38	Delayed initiation of the project- funds only received in May 2014
	SR	MOH Belize	Ministry Health (MoH)	96,625.00		96,625.00	Delayed initiation of project- funds only received May, 2014 and delays in signing and implementing subawards, and difficulties obtaining tax exemptions	96,625.00		96,625.00	Delayed initiation of project- funds only received May, 2014 and delays in signing and implementing subawards, and difficulties obtaining tax exemptions
	SR	MOH Costa Rica	Ministry Health (MoH)	125,250.00		125,250.00	Delayed initiation of project- funds only received May, 2014 and delays in signing and implementing subawards, and difficulties obtaining tax exemptions	125,250.00		125,250.00	Delayed initiation of project- funds only received May, 2014 and delays in signing and implementing subawards, and difficulties obtaining tax exemptions
	SR	MOH El Salvador	Ministry Health (MoH)	157,120.00		157,120.00	Delayed initiation of project- funds only received May, 2014 and delays in signing and implementing subawards, and difficulties obtaining tax exemptions	157,120.00		157,120.00	Delayed initiation of project- funds only received May, 2014 and delays in signing and implementing subawards, and difficulties obtaining tax exemptions

	SR	MOH Haiti	Ministry Health (MoH)	200,000.00		200,000.00	Delayed initiation of project- funds only received May, 2014 and changes in country priorities and needs	200,000.00		200,000.00	Delayed initiation of project- funds only received May, 2014 and changes in country priorities and needs
	SR	MOH Panama	Ministry Health (MoH)	117,625.00	10,164.50	107,460.50	Delayed initiation of project- funds only received May, 2014 and delays in signing and implementing subawards, and difficulties obtaining tax exemptions	117,625.00	10,164.50	107,460.50	Delayed initiation of project- funds only received May, 2014 and delays in signing and implementing subawards, and difficulties obtaining tax exemptions
	SR	MOH Dominican Republic	Ministry Health (MoH)	168,614.09		168,614.09	Delayed initiation of project- funds only received May, 2014 and delays in signing and implementing subawards	168,614.09		168,614.09	Delayed initiation of project- funds only received May, 2014 and delays in signing and implementing subawards
TOTAL				1,758,441.34	334,367.37	1,424,073.97		1,758,441.34	334,367.37	1,424,073.97	

To add additional rows, right click the row number (Row 51 in a blank template) to the left of the row above the row for TOTAL and select copy, then over the same number, right click again and select Insert Copied Cells. **WARNING:** Inserting Rows without copying a row as described above will cause the formula in the variance column to become invalid and will mean the overall information will be inaccurate.

* The sum of all three breakdowns should be equal (A- Budget Line-item, B- Program Activity, C- Implementing Entity).

** For the purposes of this report, the SDA Program management and administration should be included in the Supportive Environment Macro Category.

D- ADDITIONAL INFORMATION

Please disclose any relevant information concerning the information in the above tables. Refer to the Guidelines for Completing the Template if required.

E- DISBURSEMENTS BREAKDOWN BY IMPLEMENTING ENTITY

Cumulative Reporting Period

#	Name	Type of Implementing Entity	Cumulative Disbursements	Comments
	MOH Belize	Ministry Health (MoH)	40,125.00	
	MOH Costa Rica	Ministry Health (MoH)	34,500.00	
	MOH El Salvador	Ministry Health (MoH)		
	MOH Haiti	Ministry Health (MoH)	114,666.67	
	MOH Panama	Ministry Health (MoH)	86,956.67	
	MOH Dominican Republic	Ministry Health (MoH)	56,292.00	
TOTAL			332,540.34	

On-going Progress Update and Disbursement Request

PROGRESS UPDATE PERIOD

Grant number:	QMG-M-PSI		
Progress Update - Reporting Period:	Cycle:	Semester	Number: 2
Progress Update - Period Covered:	Beginning Date:	1-Jul-2014	End Date: 31-Dec-2014
Progress Update - Number:	1		

Section 4: Procurement and Supply Management

		Comments
<p>1a. Have you updated the Price Quality Reporting (PQR) with the required information on the pharmaceuticals and health products received during the period covered by this PU/DR' (if applicable)? If health products procurement information has not been entered into the PQR, please explain why.</p> <p>! For further guidance on PQR data entry, please refer to the guidelines.</p>	N/A	
<p>2. Based on the most up-to-date stock situation, are there any risks of stockouts of key pharmaceuticals & health products at the central level in the next period of implementation? If yes, please comment.</p>	N/A	

3. Comment on additional issues related to the procurement and supply management of pharmaceuticals and health products

On-going Progress Update and Disbursement Request

DISBURSEMENT REQUEST PERIOD

Grant number:	QMG-M-PSI			
Progress Update - Reporting Period:	Cycle:	Semester	Number:	2
Progress Update - Period Covered:	Beginning Date:	1-Jul-2014	End Date:	31-Dec-2014
Progress Update - Number:	1			
Currency:	USD			

! A Statement of Sources and Uses of Funds (SSUF) is to be provided by PR along with the PUDR form

Section 5: Cash Reconciliation and Disbursement Request

A: CASH RECONCILIATION FOR PERIOD COVERED BY PROGRESS UPDATE

1. Cash Balance: Beginning of period covered by Progress Update (line 10 from Cash Reconciliation section of the period covered by the previous Progress Update):		<u>1,901,352</u>
<hr/>		
Add:	2. Cash received by the PR from the Global Fund during the period covered by this progress update:	
	3. Cash disbursed to third parties by the Global Fund on behalf of the PR during the period covered by this progress update:	
	4. Interest received on bank account	<u>842</u>
	5. Revenue from income-generating activities (if applicable)	
	6. Other income, if applicable (e.g. income from disposal of fixed assets, tax refunds)	<u>842</u>
<hr/>		
Less:	7. Total cash outflow during period covered by Progress Update (value entered in Section 3A "Total cash outflow"):	<u>616,611</u>
	8. Net exchange rate gains/losses (<i>gains should be shown with a minus sign; losses should be shown with a plus sign</i>)	
	9. Reconciliation adjustments (<i>gains should be shown with a minus sign; losses should be shown with a plus sign</i>)	<u>616,611</u>
<hr/>		
10. Cash Balance: End of period covered by Progress Update:		<u>1,285,583</u>

Explanation of reconciliation adjustments (line 9)

! An explanation must be provided if there have been any adjustments.

On-going Progress Update and Disbursement Request

DISBURSEMENT REQUEST PERIOD

Grant number:	QMG-M-PSI			
Progress Update - Reporting Period:	Cycle:	Semester	Number:	2
Progress Update - Period Covered:	Beginning Date:	1-Jul-2014	End Date:	31-Dec-2014
Progress Update - Number:	1			
Currency:	USD			

Section 5: Cash Reconciliation and Disbursement Request

B: DISBURSEMENT REQUEST

Total forecasted net cash expenditures by the Principal Recipient for the period immediately following the period covered by the Progress Update:

1. Period beginning date:	1-Jan-2015	end date:	30-Jun-2015	approved budget amount:	331,264	forecasted amount:	552,416	
2a. Cash buffer period (by default)								
(cash "buffer") beginning date:	1-Jul-2015	end date:	30-Sep-2015	approved budget amount:	397,990	forecasted amount:	467,002	
2b. Additional "buffer" (discretionary, select only if there is a prior agreement with the FPM) (1)								PR Total Forecast
cash "buffer" agreed with FPM (2)	Select							1,019,418
(cash "buffer") beginning date	1-Oct-2015	end date:		approved budget amount:		forecasted amount:		

(1) Additional Cash buffer can be requested if the next PU/DR report will contain a completed EFR report or a completed Annex on SR financials, requested by the Secretariat, or if there are any additional GF-specific requirements that cannot be delivered within 45 days. An agreement in principal from the FPM should be obtained prior to requesting an additional cash buffer.

(2) When the additional (cash "buffer") period is 1 or 2 months, the approved budget and forecasted amounts should be calculated as prorated values for the period following the regular buffer period.

Please explain any significant variance (based on your judgment) between the forecasted amounts and the amounts as per approved budgets. Please specify the main factors and related amounts that are the major drivers of the variance.

NB. Consider the following items when providing the analysis.

- Expected timing of payments for any significant budgetary items,
- Impact of existing cash balance at SR levels
- Current confirmed commitments to be paid during disbursement request period
- Current/expected unit prices compared to those in the budget
- Change in quantities compared to budget
- Exchange rates and inflation
- Linkage between budget absorption and programmatic performance to-date.

! The forecast should include any existing commitments (eligible under this grant) as of the end of the reporting period and which are likely to be paid during the disbursement period

3. Cash Balance: End of period covered by Progress Update (number 10 from PR Cash Reconciliation sheet):	1,285,583	
Less:		
4. Cash "in transit" disbursed to the PR:		
5. Cash "in transit" disbursed to third parties by the Global Fund on behalf of the PR		1,285,583
6. PR's Disbursement Request to the Global Fund for the period immediately following the period covered by the Progress Update, plus additional period (cash buffer):		0

7. Does the PR's Disbursement Request include funds for health product procurement?

Select

8. Exchange Rate (used to translate local currency into grant currency)

- used to convert Opening Cash Balance

- used to convert Closing Cash Balance

- used to convert Total Cash Outflow for the Progress Update Period

Name of local currency, date and source of the exchange rate, and other comments (if appropriate)

On-going Progress Update and Disbursement Request

PROGRESS UPDATE PERIOD

Grant number:	QMG-M-PSI		
Progress Update - Reporting Period:	Cycle:	Semester	Number: 2
Progress Update - Period Covered:	Beginning Date:	1-Jul-2014	End Date: 31-Dec-2014
Progress Update - Number:	1		

Section 6: Overall Performance

A. PR's Overall Self-Evaluation of Grant Performance (including a summary of how financial performance is linked to programmatic achievements)

! The self-evaluation should be undertaken by taking into account programmatic achievements, financial performance and program issues in various functional areas (M&E, Finance, Procurement, and Program Management, including management of sub-recipients). See Guidelines for more detailed guidance.

The PR's 3 programmatic priorities this period were to 1) initiate activities in the 6 countries 2) facilitate the development of a regional strategic plan and 3) strengthen the malaria component of the RCM. The PR signed subawards with the remaining 4 countries, obtained confirmations of tax exemption/absorption by the MOHs from 5 countries, and activities were initiated in 4 of the 6 countries. One of the key takeaways for the PR this period is the need to budget significant amounts of time when working with MOHs, particularly when requiring tax exemptions. Each MOH had its own internal processes for signing the subaward, obtaining tax exemptions, and executing funds that required significant amounts of time, the involvement of multiple departments, and support from the PR. The time required to complete these complex internal processes in order to initiate activities was severely underestimated. Additionally, some of the Global Fund requirements associated with the subawards, particularly the requirement of tax exemption, caused significant delays, even in countries that had other Global Fund grants. The process for obtaining tax exemptions took several months in almost every country; the most extreme example is El Salvador where the legislative assembly still has not approved the required legislation to allow expenditures to be tax exempt, which is why they have not received funds or initiated activities. Despite receiving written confirmation of tax exemptions/absorption from all remaining countries, the PR found obstacles associated with compliance in almost every country. For example the PR has been unable to complete the required purchases for Costa Rica and Panama since Costa Rica does not have a process in place to have tax exempt purchases made by a third party and Panama could not obtain exemptions for a certain tax and chose to absorb the taxes but did not have funds available to do so. In addition to completing the arduous processes associated with signing and implementing the subaward, several countries began reorienting their programs and strengthening their surveillance systems using national funds, demonstrating their commitment to the initiative. These delays in the implementation of activities are responsible for the SRs' extremely low burn rate of 3% for funds disbursed by the PR.

The RCM identified the development of a regional strategic elimination plan as a priority for EMMIE. Thus, the PR organized and facilitated the first regional EMMIE meeting in which the participants developed a framework, key activities and indicators, which the PR used to develop the EMMIE regional strategic plan. The meeting was a great success due to the active participation of MOH personnel and the use of novel facilitation techniques by PSI facilitators which assisted participants in thinking outside the box and reaching consensus. The participation of the RCM in planning this meeting was limited and often delayed due to conflicting responsibilities on the part of the RCM's leadership as well as the focal points for EMMIE, given their demanding positions within their respective MOHs and the lack of staff to support. Neither the President nor the Vice President for Malaria of the RCM were able to attend the strategic planning meeting, thus the PR assumed the responsibility of drafting a regional strategy using the results of the meeting. The PR coordinated an in-depth review of the strategy with the President of the RCM and is currently incorporating the revisions of the RCM, participating countries, and technical partners. The RCM presented the outline of the strategic plan to COMISCA in December and the completed strategy will be submitted for their approval in their next meeting (June, 2015).

One of the weaknesses since the start of the grant has been the lack of definition surrounding the respective roles of the PR and the RCM. This is partly due to a lack of capacity of the RCM to assume the responsibility of coordinating the initiative, due to the previously mentioned challenges. This has led to a lack of communication between the RCM and participating countries, difficulties engaging countries who did not receive start-up funds, and delays in most activities including regional meetings, drafting of the strategic plan, and coordination of technical partners. Thus, the PR worked to assist in the formation and strengthening of the malaria component of the RCM by actively participating in the development of the malaria section for the RCM's Annual Operational Plan and supporting the RCM in the execution of this plan by following-up on planned activities and providing assistance for activities in which the RCM did not have the capacity to execute alone. Another reason for the confusion regarding the role of the PR is the lack of coordination surrounding the changes made to the PR's work plan including the elimination of key activities and the assignation of parallel activities to other entities.

B. Planned Changes in the Program, if any

Given the elimination of key activities from the PR's work plan by the donor and the need to continue to provide support to the RCM in their activities, the PR would like to have a meeting with the donor to reexamine their role, define priority activities and review the corresponding resources required. Additionally, due to significant delays in the execution of subaward activities, the PR plans to increase the number of trips to subaward countries in order to ensure the timely and efficient implementation of activities.

C. External factors beyond the control of the Principal Recipient that have impacted or may impact the Program

There were significant delays in signing the subawards in 3 countries, as well as delays in all 6 countries in the initiation of activities. These were primarily due to the internal processes of each country associated with subcontracts, tax exemptions, and procurements, as well as the lack of responsiveness from the MOHs. For example, El Salvador and the DR took over 3 months to review the subcontract. Similarly, the PR attempted to fund some of El Salvador's activities through their local office, but did not receive the work plan until November 13th, 6 months after requesting it. This may be attributed to the outbreak of Chikungunya in the region in ebola in Africa, which drained significant resources from Vector Control Programs, changes in key personnel, and lack of sufficient personnel and resources.

On-going Progress Update and Disbursement Request

GENERAL GRANT INFORMATION

Country:	Belize, Costa Rica, Dominican Republic, El Salvador, Guatemala, Haiti, Honduras, Nica
Disease:	Malaria
Grant number:	QMG-M-PSI
Principal Recipient:	Population Services International
Program Start Date:	1-January 2014
Currency:	USD

PROGRESS UPDATE PERIOD

Progress Update - Reporting Period:	Cycle:	Semester	Number:	2
Progress Update - Period Covered:	Beginning Date:	1-Jul-2014	End Date:	31-Dec-2014
Progress Update - Number:	1			

DISBURSEMENT REQUEST PERIOD

Disbursement Request - Disbursement Period:	Cycle:	Annual	Number:	0
Disbursement Request - Period Covered:	Beginning Date:	1-Jan-2015	End Date:	30-Jun-2015
Disbursement Request - Number:	1			

Section 7: Cash Request and Authorization

A: CASH REQUEST

On behalf of the PR, the undersigned hereby requests the Global Fund to disburse funds under the above-referenced Grant Agreement as follows:

1. Cash amount requested from the Global Fund (from line 14 – “PR’s Disbursement Request” in the tab “PR_Disbursement Request_4B”), in grant currency

0

2. Amount requested in words (in: USD):

B: AUTHORIZATION

The undersigned acknowledges that: (i) all the information (programmatic, financial, or otherwise) provided in this Progress Update and Disbursement Request is complete and accurate; (ii) funds disbursed in accordance with this request shall be deposited in the bank account specified in block 9 of the face sheet of the Grant Agreement unless otherwise specified herein; and (iii) funds disbursed under the Grant Agreement shall be used in accordance with the Grant Agreement.

Signed on behalf of the Principal Recipient:
(signature of Authorized Designated Representative)

Name:

Kim Schwartz

Title:

Senior Vice President and Chief Financial Officer

Date and Place:

14/02/2015

NB: Please ensure that section 7C Bank Details on the following page is completed, if (1) this is a split disbursement (i.e. disbursement going to more than one recipient) or (2) if there have been changes to the bank details since the previous disbursement.

On-going Progress Update and Disbursement Request

NB: This page should be completed if (1) this is a split disbursement (i.e. disbursement going to more than one recipient) or (2) if there have been changes to the bank details since the previous disbursement.

7C: Bank Account Details

Disbursement Request Period	
-----------------------------	--

Summary

	Beneficiary Name	Amount in grant currency
Payee 1 - Principal Recipient:		-
Payee 2:		-
Payee 3:		-
Payee 4:		-
Total		-

Payee 1:

Beneficiary Name:		Owner of Bank Account:	
Currency <small>in which beneficiary should receive the funds</small>		Owner of Bank Account:	
Amount in currency in which beneficiary should receive the funds:		Bank Account Number:	
Amount in Words:		Bank Address	
Exchange rate, date and source <small>(Complete only if currency in which beneficiary should receive the funds is different from the grant currency)</small>		Bank SWIFT Code:	
Equivalent in grant currency <small>(Calculated based on the indicated exchange rate)</small>		Bank Code (Other):	
		Routing Instructions:	

Payee 2:

Beneficiary Name:		Owner of Bank Account:	
Currency <small>in which beneficiary should receive the funds</small>		Owner of Bank Account:	
Amount in currency in which beneficiary should receive the funds:		Bank Account Number:	
Amount in Words:		Bank Address	
Exchange rate, date and source <small>(Complete only if currency in which beneficiary should receive the funds is different from the grant currency)</small>		Bank SWIFT Code:	
Equivalent in grant currency <small>(Calculated based on the indicated exchange rate)</small>		Bank Code (Other):	
		Routing Instructions:	

Payee 3:

Beneficiary Name:		Owner of Bank Account:	
Currency <small>in which beneficiary should receive the funds</small>		Owner of Bank Account:	
Amount in currency in which beneficiary should receive the funds:		Bank Account Number:	
Amount in Words:		Bank Address	
Exchange rate, date and source <small>(Complete only if currency in which beneficiary should receive the funds is different from the grant currency)</small>		Bank SWIFT Code:	
Equivalent in grant currency <small>(Calculated based on the indicated exchange rate)</small>		Bank Code (Other):	
		Routing Instructions:	

Payee 4:

Beneficiary Name:		Owner of Bank Account:	
Currency <small>in which beneficiary should receive the funds</small>		Owner of Bank Account:	
Amount in currency in which beneficiary should receive the funds:		Bank Account Number:	
Amount in Words:		Bank Address	
Exchange rate, date and source <small>(Complete only if currency in which beneficiary should receive the funds is different from the grant currency)</small>		Bank SWIFT Code:	
Equivalent in grant currency <small>(Calculated based on the indicated exchange rate)</small>		Bank Code (Other):	
		Routing Instructions:	

On-going Progress Update and Disbursement Request

Annex to PU/DR - Sub-recipient financial information - FOR DISCRETIONARY COMPLETION, UPON THE SECRETARIAT'S REQUEST

Has the Secretariat requested the PR to complete this Annex for this reporting period?

Grant number:	QMG-M-PSI			
Progress Update - Reporting Period:	Cycle:	Semester	Number:	2
Progress Update - Period Covered:	Beginning Date:	1-Jul-2014	End Date:	31-Dec-2014
Progress Update - Number:	1			
Currency:	USD			

Name of Entity	Date of Most Recent Disbursement to SR	Budget for Reporting Period*	Disbursed during Reporting Period*	Cumulative Budget through period of this Progress Update*	Cumulative Disbursed through period of this Progress Update*	Cumulative Actual Expenditure through period covered by this Progress Update	Cash balance at the end of the period covered by this Progress Update	Variance between Latest Cumulative Expenditure Reported and Cumulative Budget	PR's explanation of variance (1) between cumulative budget and cumulative expenditure and (2) between cumulative disbursement and cumulative expenditure (mandatory for amounts above \$50,000 or equivalent and with more than 10% variance)
MOH Belize	21/08/2014	96,625.00	40,125.00	96,625.00	40,125.00		40,125.00	96,625.00	Delays in initiation of project and delays in organizing activities due to shifting of staff and resources to deal with outbreak of chikungunya
MOH Costa Rica	08/10/2014	125,250.00	34,500.00	125,250.00	34,500.00	-	34,500.00	125,250.00	Delays in signing of subaward due to the country's need to complete a legal transaction and to fulfill their internal requirements in the Foreign Ministry of Foreign Affairs. The tax exemption was obtained by the Ministry of Health but needs to be accepted by the other ministries and until this happens the PSI procurements cannot occur which include necessary supplies to implement activities. Finally, in order to be able to execute funds the Ministry had to obtain internal approval which was only received in December 2014.
MOH El Salvador		157,120.00	-	157,120.00	-	-	-	157,120.00	Delays in signing of subaward due to internal processes. Additionally, inability to obtain tax exemptions due to need for legislation to be approved by legislative assembly. There has also been an internal change that now require that the agreement be signed by the Ministry of Foreign Affairs since it includes immunities and tax exemptions.
MOH Haiti	11/09/2014	200,000.00	114,666.67	200,000.00	114,666.67	-	114,666.67	200,000.00	They fulfilled the conditions precedent and the agreement was signed without major delays. However, they have not begun to execute activities due to interest from other donors in funding the same activities and changing country priorities.
MOH Panama	16/12/2014	117,625.00	86,956.67	117,625.00	86,956.67	10,164.50	76,792.17	107,460.50	Delays in in initiation of project and delays in implementation of activities due to the close of the fiscal year at the end of October.
MOH Dominican Repu	22/12/2014	168,614.09	56,292.00	168,614.09	56,292.00	-	56,292.00	168,614.09	Delays in signing of the subaward signing due to ongoing negotiations regarding several Global Fund clauses as well as changes in key personnel and lack of clear authority.
TOTAL		865,234.09	332,540.34	865,234.09	332,540.34	10,164.50	322,375.84	855,069.59	

*TOTAL amount for these columns should reconcile with relevant amounts under "1b Disbursed to Sub Recipients" in Section 3A"

** Where the number of SRs is significant (over 10), SRs with small budgets (less than \$50,000 cumulative each) do not need to be reported separately and the figures can be aggregated in a group called "Other Minor SRs"

List of supporting documents for PU/DR review

This checklist is included for information and not for completion.

List of Latest Approved Documents by functional area	To be made available to the LFA by the PR	To be submitted by the LFA to the Secretariat	Comments
M&E			
Performance Framework	x		
M&E Plan	x		
Survey results	x		For Impact/Outcome indicators
M&E Systems Strengthening Assessment	x		If newly available during the reporting period.
Other M&E assessments done by partners to assess data quality and M&E system issues.	x		If newly available during the reporting period.
Procurement			
Consumption reports for pharmaceuticals and health products	x		
Supplier invoices	x		
PSM Plan	x		
Stock level reports	x		
Finance			
Approved budgets	x		For the periods covered by Progress Update and Disbursement Request, including the buffer period.
Statement of sources and uses of funds (Cash flow statement)	x	x	See guidance on SSUF content and format in the guidelines.
Cash books	x		
General Ledger	x		
Cash forecasts	x		
Bank statements	x		
Bank Reconciliations	x		
Annual PR Audit Report, Financial Statements, Management Letters and Responses (if Due)	x	x	
Annual SR Audit Report, Financial Statements, Management Letters and Responses (if Due)	x		
General Management			
Grant Agreement (including Annex A and subsequent implementation letters)	x		
Workplan	x		

LFA On-going Progress Review and Disbursement Recommendation

LFA Organization / Responsible office: _____

GENERAL GRANT INFORMATION

Country:	Belize, Costa Rica, Dominican Republic, El Salvador, Guatemala, Haiti, Honduras
Disease:	Malaria
Grant Number:	QMG-M-PSI
Principal Recipient:	Population Services International
Program Start Date:	1-January 2014
Currency:	USD

PROGRESS UPDATE PERIOD

Progress Update - Reporting Period:	Cycle:	Semester	Number:	2
Progress Update - Period Covered:	Beginning Date:	1-Jul-2014	End Date:	31-Dec-2014
Progress Update - Number:	1			

DISBURSEMENT REQUEST PERIOD

Disbursement Request - Disbursement Period:	Cycle:	Annual	Number:	0
Disbursement Request - Period Covered:	Beginning Date:	1-Jan-2015	End Date:	30-Jun-2015
Disbursement Request - Number:	1			

TERMS AND ACRONYMS USED IN THIS PROGRESS REVIEW AND DISBURSEMENT RECOMMENDATION HAVE THE MEANING GIVEN TO THEM IN THE GRANT AGREEMENT RELATING TO THE ABOVE GRANT

Section 1: LFA Review and Verification of the Principal Recipient's Programmatic Progress

Note: The table below should contain those Impact/Outcome indicators that are (1) due for reporting during the current year of a grant and (2) those reporting on which is overdue from the previous periods.

A. Impact / Outcome Indicators									
Impact / Outcome	Indicator Description	Year of Target	Intended Target (from Attachment)	Report Due Date	Actual Result (as reported by PR)	Data Source of Results	Verification Method	Verified Result	LFA comments on (a) verified result, (b) source of information used by the PR to report results, including the status of completion of surveys and other methods to measure Impact/Outcome, as applicable,
Impact	Confirmed malaria cases (microscopy or RDT)- Belize	2014	<70	-	19.00	Other (HMIS)			
Impact	Confirmed malaria cases (microscopy or RDT)- Costa Rica	2014	<50	-	6.00	Other (HMIS)			
Impact	Confirmed malaria cases (microscopy or RDT)- El Salvador	2014	<50	-	7.00	Other (HMIS)			
Impact	Confirmed malaria cases (microscopy or RDT)- Panamá	2014	<1400	-	733.00	Other (HMIS)			
Impact	Confirmed malaria cases (microscopy or RDT)- Guatemala	2014	<8100	-	4,931.00	Other (HMIS)			
Impact	Confirmed malaria cases (microscopy or RDT)- Honduras	2014	<8000	-	3,277.00	Other (HMIS)			
Impact	Confirmed malaria cases (microscopy or RDT)- Nicaragua	2014	<1050	-	1,131.00	Other (HMIS)			
Impact	Confirmed malaria cases (microscopy or RDT)- Haiti	2014	<29200	-	16,634.00	Other (Surveillance Systema)			
Impact	Confirmed malaria cases (microscopy or RDT)- Dominican Republic	2014	<525	-	496.00	Other (HMIS)			
		-	-	-	-	Select			

LFA On-going Progress Review and Disbursement Recommendation

PROGRESS UPDATE PERIOD

Grant number:	QMG-M-PSI			
Progress Update - Reporting Period:	Cycle:	Semester	Number:	2
Progress Update - Period Covered:	Beginning Date:	1-Jul-2014	End Date:	31-Dec-2014
Progress Update - Number:	1			

Note: All programmatic indicators contained in the current Performance Framework should be listed, regardless of whether there are targets/results for the period covered by the Progress Update or whether the targets have been met in previous periods.

B. Programmatic Indicators											
Objective No.	Indicator No.	Indicator Description	Tied to	Targets cumulative?	Top 10 indicator?	Intended Target to date (from PF)	Actual Result to date (as reported by PR)	Verification Method	Verified Result	% achievement (Please calculate as appropriate)	LFA analysis on progress to date and any variance between targets and results, and any other comments (this should not be a "Copy and Paste" of the reasons provided by the PR)
1	1	Confirmed malaria cases (microscopy or RDT)- Belize	National Program	N-not cumulative	Yes - Top 10	<70	19.00				
1	1	Confirmed malaria cases (microscopy or RDT)- Costa Rica	National Program	N-not cumulative	Yes - Top 10	<50	6.00				
1	1	Confirmed malaria cases (microscopy or RDT)- El Salvador	National Program	N-not cumulative	Yes - Top 10	<50	7.00				
1	1	Confirmed malaria cases (microscopy or RDT)- Panamá	National Program	N-not cumulative	Yes - Top 10	<1400	733.00				
1	1	Confirmed malaria cases (microscopy or RDT)- Guatemala	GF	N-not cumulative	Yes - Top 10	<8100	4,931.00				
1	1	Confirmed malaria cases (microscopy or RDT)- Honduras	GF	N-not cumulative	Yes - Top 10	<8000	3,277.00				
1	1	Confirmed malaria cases (microscopy or RDT)- Nicaragua	GF	N-not cumulative	Yes - Top 10	<1050	1,131.00				
1	1	Confirmed malaria cases (microscopy or RDT)- Haiti	GF	N-not cumulative	Yes - Top 10	<29200	16,634.00				
1	1	Confirmed malaria cases (microscopy or RDT)- Dominican Republic	National Program	N-not cumulative	Yes - Top 10	<525	496.00				
			Select	Select	Select	-	-				
			Select	Select	Select	-	-				
			Select	Select	Select	-	-				
			Select	Select	Select	-	-				
			Select	Select	Select	-	-				
			Select	Select	Select	-	-				

			Select	Select	Select	-	-				
			Select	Select	Select	-	-				
			Select	Select	Select	-	-				
			Select	Select	Select	-	-				
			Select	Select	Select	-	-				
			Select	Select	Select	-	-				
			Select	Select	Select	-	-				
			Select	Select	Select	-	-				
			Select	Select	Select	-	-				
			Select	Select	Select	-	-				

C LFA comments on data quality and reporting issues

(!) This section should contain any common issues and/or additional information related to data quality and reporting on the programmatic indicators which are not covered in 'LFA analysis on progress to date and any variance between targets and results'

LFA On-going Progress Review and Disbursement Recommendation

PROGRESS UPDATE PERIOD

Grant number:	QMG-M-PSI		
Progress Update - Reporting Period:	Cycle:	Semester	Number: 2
Progress Update - Period Covered:	Beginning Date:	1-Jul-2014	End Date: 31-Dec-2014
Progress Update - Number:	1		

Section 2: Grant Management

A. PR & LFA COMMENTS ON THE FULFILLMENT OF OUTSTANDING CONDITIONS PRECEDENT AND/OR SPECIAL CONDITIONS UNDER THE GRANT AGREEMENT

! This table should contain a full text of the CP and/or other special conditions due for fulfilment during this period or outstanding from previous periods.

! Some Special Conditions may apply to more than one period of grant implementation. Their fulfilment during one period does not automatically imply fulfilment in subsequent periods. The LFA should verify that the status of such conditions is reported by the PR during each period concerned.

! If a Condition Precedent that was previously fulfilled is re-opened due to new circumstances, and the issue addressed by this condition is considered critical, the issue should be disclosed by the LFA in the Section 4 LFA Findings and Recommendations. At the discretion of the Fund Portfolio Manager, the issue may be followed up through the management actions assigned by the Global Fund to the PR.

Conditions Precedent and/or other special conditions	PR SECTION	LFA SECTION	
	Status	Status	Analysis (this should not be a "Copy and Paste" of the comments provided by the PR)
1. Conditions precedent to First Disbursement The first disbursement of Grant funds by the Global Fund to the Principal Recipient is subject to the following conditions:	Met		
Special Condition 1 In accordance with the Standard Terms and Conditions of this Agreement, the Principal Recipient shall be responsible for the	Unmet - In Progress		
Special Condition 3 The Principal Recipient agrees and understands that no Grant funds shall be transferred by the Principal Recipient to any participating	Met		
Special Condition 11 The Principal Recipient shall submit the information on the total number of malaria cases, referred in Sections C.5 to C.10 above, together with	Unmet - In Progress		
	Select		
	Select		
	Select		
	Select		
	Select		
	Select		
	Select		
	Select		
	Select		
	Select		
	Select		

Section 2: Grant Management

B. PR & LFA REVIEW OF PROGRESS ON IMPLEMENTATION OF OUTSTANDING MANAGEMENT ACTIONS FROM PREVIOUS DISBURSEMENTS

! This table should contain all issues raised in the last Management Letter from the Global Fund or outstanding from previous Management Letters, and comment on the progress.

Global Fund Management Actions	LFA Review of PR Progress on Global Fund Management Actions
Management Letter: 30-May-2014 6. Funds for start-up activities We strongly recommend to the PR to work even more actively in order	
Management Letter: 30-May-2014 7. Sub-Recipient Awards We strongly recommend to the PR to simplify the assessment	
Management Letter: 30-May-2014 8. PSI Team We recommend the PR to update us and gear up toward the	
Management Letter: 30-May-2014 9. Technical Assistance We would kindly request the PR to make contact with the RCM and to	
Management Letter: 30-May-2014 10. Audit Report We recommend the PR start getting acknowledged about the Audit	
Management Letter: 30-May-2014 11. Enhance Financial Reports (EFR) The EFR has to be submitted along with the first Progress	
Management Letter: 30-May-2014 PR costs at HR and Field level We kindly request the PR to submit this information by 30th June 2014.	

C. PR & LFA COMMENTS ON ANNUAL GRANT REPORTING REQUIREMENTS

This table should contain the due date for the report due for submission. If a report is overdue, indicate the original due date and explain the reason for delay.

	PR SECTION		LFA SECTION		
	Due date	Status	Due date	Status	Comments
PR Audit Report	30-Jun-16	Preparation on track			
Enhanced Financial Reporting (EFR)	15-Feb-15	Submitted to GF			

LFA On-going Progress Review and Disbursement Recommendation

PROGRESS UPDATE PERIOD

Grant number:	QMG-M-PSI		
Progress Update - Reporting Period:	Cycle:	Semester	Number: 2
Progress Update - Period Covered:	Beginning Date:	1-Jul-2014	End Date: 31-Dec-2014
Progress Update - Number:	1		
Currency:	USD		

Section 3A: Total PR Cash Outflow

LFA-VERIFIED TABLES ON TOTAL PR CASH OUTFLOW

! For RCC grants the cumulative section of the table below should contain cumulative amount from the start of the RCC and not from the start of Phase 1 of the program.

	LFA-Verified Budget for Reporting Period	LFA-Verified Actual for Reporting Period	Variance	LFA Analysis of Variance	LFA-Verified Cumulative Budget through period of Progress Update	LFA-Verified Actual through period of Progress Update	Variance	LFA Analysis of Variance
1. Total cash outflow vs. budget	1,302,027	616,611	685,416		1,758,441	656,743	1,101,698	
1a. PR's total expenditures	560,780	284,071	276,709		893,207	324,203	569,004	
1b. Disbursements to sub-recipients	741,247	332,540	408,707		865,234	332,540	532,694	

	LFA-Verified Budget for Reporting Period	LFA-Verified Actual for Reporting Period	Variance	LFA Analysis of Variance	LFA-Verified Cumulative Budget through period of Progress Update	LFA-Verified Actual through period of Progress Update	Variance	LFA Analysis of Variance
2. Pharmaceuticals & health product expenditures vs. budget	56,500	0	56,500		56,500	0	56,500	
2a. Medicines and pharmaceutical products	0	0	0		0	0	0	
2b. Health products and health equipment	56,500	0	56,500		56,500	0	56,500	

* If LFA-entered data differs from PR's figures, the respective cells will change colour automatically

3. Indicate any expenditures (incurred or forecasted) that should not be financed by the Global Fund

LFA review of Enhanced Financial Reporting template

LFA Organization / Responsible office: _____

GENERAL GRANT INFORMATION

Country:	Belize, Costa Rica, Dominican Republic, El Salvador, Guatemala, Haiti, Honduras, Nicaragua, and Panama
Disease:	Malaria
Grant Number:	QMG-M-PSI
Principal Recipient:	Population Services International
Program Start Date:	1-January 2014
Currency:	USD

Section 3B: ENHANCED FINANCIAL REPORTING PERIOD

Current Period	Beginning Date:		End Date:	
Cumulative Period	Beginning Date:		End Date:	

1. CHECKLIST

The following information provided by the Principal Recipient in its EFR has been checked.

Comments if any:

- 1 **Select** The template has been fully completed.
- 2 **Select** The total figures in Tables A, B and C are equal.
- 3 **Select** The reporting dates are correct for both current period and cumulative period.
- 4 **Select** The total budget figure is accurate based on existing approved budgets.
- 5 **Select** The PR expenditure in Table C is consistent with PR expenditure for the same period as provided in the Progress Updates/Disbursements Request (PU/DR).
- 6 **Select** The total expenditure is supported by appropriate documentation (PR expenditure reports, bank reconciliations, SR expenditure reports to PR etc.) or reasonable assumptions.

2. COMPLETION OF THE TEMPLATE

Comments on the process, assumptions and supporting documentation used by the PR to complete the template. *(If space is insufficient, please provide comments in an addendum)*

3. VARIANCE ANALYSIS

Comments on the explanations for variances provided by the PR (LFA can also provide comment directly on the EFR template completed by the PR)

Signed on behalf of the LFA:

Name:

Title:

Date and Place:

LFA On-going Progress Review and Disbursement Recommendation

PROGRESS UPDATE PERIOD

Grant number:	QMG-M-PSI				
Progress Update - Reporting Period:	Cycle:	Semester	Number:		2
Progress Update - Period Covered:	Beginning Date:	1-Jul-2014	End Date:		31-Dec-2014
Progress Update - Number:	1				

Section 4: LFA-verified Procurement and Supply Management Information

	PR's response	LFA's response	LFA Comments/Analysis
1a. Has the PR updated the Price Quality Reporting (PQR) with the required information on the pharmaceuticals and health products received during the period covered by this PU/DR' (if applicable)? (If health products procurement information has not been entered into the PQR, please explain why in comments box)	N/A	Select	

1b. Value of Pharmaceuticals and Health Products in the PQR (6 categories only)

(!) This table is included in the PU/DR form with the aim to improve completeness of information in the PQR system and not for comparing PQR amounts vis-à-vis expenditure per se. NB: PQR and expenditure amounts on health products may not be equal due to a timelag between payments and delivery of pharmaceuticals/health products.

(!) For further guidance on PQR data entry, please refer to the guidelines.

Reporting Currency								
PQR Product Categories	Value of products received during reporting period	Value of products entered by the PR and verified as correct by the LFA in the PQR during reporting period	Variance	Reason for Variance	Cumulative value of products received since Jan 2011	Cumulative value of products verified as correct by the LFA in the PQR since Jan 2011	Variance	Reason for Variance
1. Anti-malaria medicines								
2. Bed nets								
3. Rapid Diagnostic Tests								
4. Condoms								
5. Anti-retrovirals								
6. Anti-TB Medicines								
Total	0.00	0.00	0.00		0.00	0.00	0.00	

Section 4: LFA-verified Procurement and Supply Management Information

<p>2. Based on best information available to the LFA, are there any risks of drug stockout <u>at the central level</u> in the next period of implementation? (If yes, please explain in comments box)</p> <p>! This section should be completed by the LFA based on best information on stock levels at the central level available to the LFA and should not require dedicated visits for on-site checks of stocks.</p>	N/A	Select	
---	-----	--------	--

<p>3. PR comments on issues related to the procurement and supply management of pharmaceuticals and health products</p>	<p>LFA analysis on issues related to the procurement and supply management of pharmaceuticals and health products</p>

LFA On-going Progress Review and Disbursement Recommendation

DISBURSEMENT REQUEST PERIOD

Grant number:	QMG-M-PSI		
Progress Update - Reporting Period:	Cycle:	Semester	Number: 2
Progress Update - Period Covered:	Beginning Date:	1-Jul-2014	End Date: 31-Dec-2014
Progress Update - Number:	1		

LFA-specific section: LFA Findings & Recommendations

! Based on the information provided in the previous sections and your understanding of the grant, please summarise any important management issues, proposing a recommendation for each.

NB: an issue is considered as 'important' if it impacts or is likely to impact program implementation and results.

! Instead of repeating detailed descriptions of issues covered in other sections, it is acceptable to state the issue and reference the section containing the details.

Functional Areas	Description of Identified Issues (in order of importance)	LFA Recommendations (in order of importance)	FPM Comments (to be completed upon receipt of the LFA-verified form)
Select			
Select			
Select			
Select			
Select			
Select			
Select			
Select			
Select			
Select			

LFA-specific section: LFA Findings & Recommendations

! Based on the information provided in the previous sections and your understanding of the grant, please summarise any important management issues, proposing a recommendation for each.

NB: an issue is considered as 'important' if it impacts or is likely to impact program implementation and results.

! Instead of repeating detailed descriptions of issues covered in other sections, it is acceptable to state the issue and reference the section containing the details.

Functional Areas	Description of Identified Issues (in order of importance)	LFA Recommendations (in order of importance)	FPM Comments (to be completed upon receipt of the LFA-verified form)
Select			
Select			
Select			
Select			

LFA_Findings & Recommendations

LFA On-going Progress Review and Disbursement Recommendation

DISBURSEMENT REQUEST PERIOD

Grant number:	QMG-M-PSI		
Disbursement Request - Disbursement Period:	Cycle:	Annual	Number: 0
Disbursement Request - Period Covered:	Beginning Date:	1-Jan-2015	End Date: 30-Jun-2015
Disbursement Request - Number:	1		

Section 5: LFA-verified Cash Reconciliation & Disbursement Recommendation

A. LFA-VERIFIED CASH RECONCILIATION FOR PERIOD COVERED BY PROGRESS UPDATE

	PR-reported amounts	LFA-verified amounts	LFA Comments on verified amounts (if they are different from those reported by the PR) and PR's explanation of reconciliation adjustments (line 9)
1. Cash Balance: Beginning of period covered by Progress Update (line 10 from Cash Reconciliation section of the period covered by the previous Progress Update):	1,901,352		
Add:			
2. Cash received by the PR from the Global Fund during the period covered by this progress update: ⁽¹⁾	0		
3. Cash disbursed to third parties by the Global Fund on behalf of the PR during the period covered by this progress update: ⁽¹⁾	0		
4. Interest received on bank account	842		
5. Revenue from income-generating activities (if applicable)	0		
6. Other income, if applicable (e.g. income from disposal of fixed assets, tax refunds)	0		
Less:			
7. Total PR cash outflow during period covered by Progress Update (value entered in Section 3A "Total cash outflow"):	616,611		
8. Net exchange rate gains/losses (gains should be shown with a minus sign; losses should be shown with a plus sign)	0		
9. Reconciliation adjustments (gains should be shown with a minus sign; losses should be shown with a plus sign)	0		
10. Cash Balance: End of period covered by Progress Update:	1,285,583	0	

LFA On-going Progress Review and Disbursement Recommendation

DISBURSEMENT REQUEST PERIOD

Grant number:	QMG-M-PSI			
Disbursement Request - Disbursement Period:	Cycle:	Annual	Number:	0
Disbursement Request - Period Covered:	Beginning Date:	1-Jan-2015	End Date:	30-Jun-2015
Disbursement Request - Number:	1			
Currency:	USD			

Section 5: LFA-verified Cash Reconciliation & Disbursement Recommendation

B. LFA-RECOMMENDED DISBURSEMENT AMOUNT AND EXPLANATIONS

Total forecasted net cash expenditures by the Principal Recipient for the period immediately following the period covered by the Progress Update:

1. Period beginning date:	1-Jan-2015	end date:	30-Jun-2015	Approved budget amount (reported by PR):	331,264	Forecasted amount (reported by PR):	552,416	
				LFA-verified approved budget amount:		LFA-adjusted forecasted amount:		
2a. Cash buffer period (by default) (cash "buffer") beginning date:	1-Jul-2015	end date:	30-Sep-2015	Approved budget amount (reported by PR):	397,990	Forecasted amount (reported by PR):	467,002	
				LFA-verified approved budget amount:		LFA-adjusted forecasted amount:		
2b. Additional "buffer" (discretionary, select only if there is a prior agreement with the FPM) (1)								
(cash "buffer") beginning date	1-Oct-2015	end date:		approved budget amount:	0	forecasted amount:	0	
				LFA-verified approved budget amount:		LFA-adjusted forecasted amount:		

PR Total Forecast
1,019,418
LFA Total Forecast
0

(1) Upon agreement with the FPM, additional Cash buffer can be requested if the PU/DR report contains a completed EFR report or a completed Annex on SR financials, requested by the Secretariat, or if there are any additional GF-specific requirements that cannot be delivered within 45 days. However such requests may or may not be satisfied based on the review of the current PUDR

(2) When the additional (cash "buffer") period is 1 or 2months, the approved budget and forecasted amounts should be calculated as prorated values for the period following the regular buffer period.

<p>PR's explanation of any significant variance between forecasted amounts and amounts as originally budgeted.</p>	
<p>LFA's explanation of any significant variance between forecasted amounts and amounts as originally budgeted.</p> <p>Please explain any significant variance (based on your judgment) between the forecasted amounts and the amounts as per approved budgets. Please specify the main factors and related amounts that are the major drivers of the variance.</p> <p>NB. Consider the following items when providing the analysis.</p> <ul style="list-style-type: none"> - Expected timing of payments for any significant budgetary items, - Impact of existing cash balance at SR levels - Current confirmed commitments to be paid during disbursement request period - Current/expected unit prices compared to those in the budget - Change in quantities compared to budget - Exchange rates and inflation - Linkage between budget absorption and programmatic performance to-date. <p>! The forecast should include any existing commitments (eligible under this grant) as of the end of the reporting period and which are likely to be paid during the disbursement period</p>	

Section 5: LFA-verified Cash Reconciliation & Disbursement Recommendation

		PR-reported amounts	LFA-verified amounts	LFA Comments
Less:	3. Cash Balance: End of period covered by Progress Update (number 10 from LFA or PR Cash Reconciliation sheet):	1,285,583	0	
	4. Cash "in transit" disbursed to the PR:	0		
	5. Cash "in transit" disbursed to third parties by the Global Fund on behalf of the PR :	0		
		PR-requested amount	LFA-recommended amount	
6. Disbursement Request to the Global Fund for the period immediately following the period covered by the Progress Update, plus additional period (cash buffer):		0	0	

7. Does the PR's Disbursement Request include funds for health product procurement?

Select

8. Exchange Rate (used to translate local currency into grant currency)

	Rates used by the PR	LFA-verified rates
- used to convert Opening Cash Balance	0.0000	
- used to convert Closing Cash Balance	0.0000	
- used to convert Total PR Cash Outflow for the Progress Update Period	0.0000	

Name of local currency and LFA comments on the exchange rates used by the PR

LFA On-going Progress Review and Disbursement Recommendation

PROGRESS UPDATE PERIOD

Grant number:	QMG-M-PSI		
Progress Update - Reporting Period:	Cycle:	Semester	Number: 2
Progress Update - Period Covered:	Beginning Date:	1-Jul-2014	End Date: 31-Dec-2014
Progress Update - Number:	1		

Section 6: LFA EVALUATION AND COMMENTS ON OVERALL PERFORMANCE

A. Overall Evaluation and Rating of Grant Performance (including a summary of how financial performance is linked to programmatic achievements)

- ! The evaluation should be undertaken by taking into account programmatic achievements, financial performance and program issues in various functional areas (M&E, Finance, Procurement, and Program Management, including management of sub-recipients). See Guidelines for more detailed guidance on the completion of this section.
- ! For RCC grants, this section should cover the period from the RCC start date through the end date of the current Progress Update period.
- ! For guidance on the methodology for rating overall performance, refer to Annex 2 of Guidelines.

Indicator rating Any major management issues resulting in downgrade? Overall Grant Rating

The top priorities of the PR during this reporting period were to: 1) hire necessary staff, 2) complete the pre-assessments for each participating country, and 3) sign contracts with each participating country.

B. LFA comments on PR planned changes in the program, if any

C. LFA Comments on External Factors Beyond Control of the Principal Recipients that have impacted or may impact program

LFA On-going Progress Review and Disbursement Recommendation

LFA Organization / Responsible office:

GENERAL GRANT INFORMATION

Country:	Belize, Costa Rica, Dominican Republic, El Salvador, Guatemala, Haiti, Honduras, Nicaragua,
Disease:	Malaria
Grant Number:	QMG-M-PSI
Principal Recipient:	Population Services International
Program Start Date:	1-January 2014
Currency:	USD

PROGRESS UPDATE PERIOD

Progress Update - Reporting Period:	Cycle:	Semester	Number:	2
Progress Update - Period Covered:	Beginning Date:	1-Jul-2014	End Date:	31-Dec-2014
Progress Update - Number:	1			

DISBURSEMENT REQUEST PERIOD

Disbursement Request - Disbursement Period:	Cycle:	Annual	Number:	0
Disbursement Request - Period Covered:	Beginning Date:	1-Jan-2015	End Date:	30-Jun-2015
Disbursement Request - Number:	1			

Section 7: Disbursement Recommendation

A. DISBURSEMENT RECOMMENDATION

Overall Rating

Indicative disbursement ranges by performance rating (included as a reference)

Performance rating	Range for cumulative disbursement amount (after the currently recommended disbursement)
A1 Exceeding expectations	Above 95% of cumulative budget through the next reporting period
A2 Meeting expectations	Between 85-105% of cumulative budget through the next reporting period
B1 Adequate	Between 55-95% of cumulative budget through the next reporting period
B2 Inadequate but potential demonstrated	Between 25-65% of cumulative budget through the next reporting period
C Unacceptable	Below 35% of cumulative budget through the next reporting period

1. Cash amount requested by the Principal Recipient from the Global Fund for next disbursement period plus one additional quarter (amount in: USD):

2. LFA disbursement recommendation (amount in: USD):

State the amount in words

Cumulative budget through the next period of implementation (including the buffer)

Cumulative disbursed amount to date (*)

Cumulative disbursed after recommended disbursement (including the buffer)

% range

Is the recommended disbursement within the range?

(*)This data can be obtained from the "Disbursements in detail report (PDF)" (<http://www.theglobalfund.org/documents/disbursementdetails.pdf>)

3. Rationale for the LFA's disbursement recommendation (if resulting in cumulative disbursement outside the indicative ranges):

Section 7: Disbursement Recommendation

B. VERIFICATIONS

The following information provided by the Principal Recipient in its *On-going Progress Review and Disbursement Request* has been verified:

Comments regarding verifications, if any:

- Select** Signature on behalf of Principal Recipient is authentic and the person to whom it belongs is authorized to sign the disbursement request
- Select** Conditions Precedent for disbursement and/or Special Conditions, if any, have been met (see Section 2, if applicable).
- Select** Program Expenditures and cash reconciliation have been verified and correspond with the PR's Statement of Sources and Uses of Funds (Cash Flow Statement).
- Select** Programmatic information provided by Principal Recipient in its On-going Progress Review and Disbursement Recommendation has been verified and corresponds with actual program progress.
- Select** Exchange rate stated by Principal Recipient has been verified and is correct.
- Select** **PR Bank details verified/corrected by LFA**
- Select** Current budget forecasts of the Principal Recipient for the next disbursement period plus buffer period have been reviewed for reasonableness
- Select** LFA has debriefed the Principal Recipient on the key findings (comment on the format of this debriefing)

NB: Please ensure that section 7C Bank Details on the following page is completed if (1) this is a split disbursement (i.e. disbursement going to more than one recipient) or (2) if there have been changes to the bank details since the previous disbursement.

D. Summary of the LFA's approach used for verification of financial, programmatic and procurement data and Quality Assurance undertaken by the LFA

In this section the LFA should indicate, as applicable, what percentage of expenditures was verified at PR level, if any expenditures were verified at SR level, how many site visits were made, what tender documentation was verified, and any other material parts of verification procedures in line with the verification approach agreed upfront between the LFA and GF Secretariat based on country/grant risks. As a good practice, the verification approach needs to be reviewed jointly by the LFA and the Secretariat annually.

NB: The LFA should sign a printed version of the verified PU/DR and send it to the Secretariat as a pdf file by email, or include an electronic signature in the Excel file to be submitted to the Global Fund.

Signed on behalf of the LFA: _____

Name: _____

Title: _____

Date and Place: _____

LFA On-going Progress Review and Disbursement

Note for LFAs: This page should be completed by the PR if (1) this is a split disbursement (i.e. disbursement going to more than one recipient) or (2) if there have been changes to the bank details since the previous disbursement. The amounts and bank details below are displayed as entered by the PR. If any of this information is incorrect, please correct them by overwriting with correct information.

7C: LFA-verified Bank Account Details

Disbursement Request Period	-
-----------------------------	---

Summary

	Beneficiary Name	Amount in grant currency
Payee 1 - Principal Recipient:		
Payee 2:		
Payee 3:		
Payee 4:		
Total		-

Payee 1:

Beneficiary Name:	
Currency in which beneficiary should receive the funds	
Amount in currency in which beneficiary should receive the funds:	
Amount in Words:	
Exchange rate, date and source (Complete only if currency in which beneficiary should receive the funds is different from the grant currency)	
Equivalent in grant currency (Calculated based on the indicated exchange rate)	

Owner of Bank Account:	
Owner of Bank Account:	
Bank Account Number:	
Bank Address	
Bank SWIFT Code:	
Bank Code (Other):	
Routing Instructions:	

Payee 2:

Beneficiary Name:	
Currency in which beneficiary should receive the funds	
Amount in currency in which beneficiary should receive the funds:	
Amount in Words:	
Exchange rate, date and source (Complete only if currency in which beneficiary should receive the funds is different from the grant currency)	
Equivalent in grant currency (Calculated based on the indicated exchange rate)	

Owner of Bank Account:	
Owner of Bank Account:	
Bank Account Number:	
Bank Address	
Bank SWIFT Code:	
Bank Code (Other):	
Routing Instructions:	

Payee 3:

Beneficiary Name:	
Currency in which beneficiary should receive the funds	
Amount in currency in which beneficiary should receive the funds:	
Amount in Words:	
Exchange rate, date and source (Complete only if currency in which beneficiary should receive the funds is different from the grant currency)	
Equivalent in grant currency (Calculated based on the indicated exchange rate)	

Owner of Bank Account:	
Owner of Bank Account:	
Bank Account Number:	
Bank Address	
Bank SWIFT Code:	
Bank Code (Other):	
Routing Instructions:	

Payee 4:

Beneficiary Name:	
Currency in which beneficiary should receive the funds	
Amount in currency in which beneficiary should receive the funds:	
Amount in Words:	
Exchange rate, date and source (Complete only if currency in which beneficiary should receive the funds is different from the grant currency)	
Equivalent in grant currency (Calculated based on the indicated exchange rate)	

Owner of Bank Account:	
Owner of Bank Account:	
Bank Account Number:	
Bank Address	
Bank SWIFT Code:	
Bank Code (Other):	
Routing Instructions:	

LFA On-going Progress Review and Disbursement Recommendation

Annex to PU/DR - LFA-reviewed Sub-recipient financial information

! Completion of this table by the PR and verification by the LFA are discretionary, and should be done upon the Secretariat's request.

Grant number:	QMG-M-PSI			
Progress Update - Reporting Period:	Cycle:	Semester	Number:	2
Progress Update - Period Covered:	Beginning Date:	1-Jul-2014	End Date:	31-Dec-2014
Progress Update - Number:	1			
Currency:	USD			

Note for LFAs: The information below is displayed as entered by the PR. If any of this information is incorrect, please correct them by overwriting with correct information.

Name of Entity	Date of Most Recent Disbursement to SR	Budget for Reporting Period*	Disbursed during Reporting Period*	Cumulative Budget through period of Progress Update*	Cumulative Disbursed through period of Progress Update*	Cumulative Actual Expenditure through period covered by this Progress Update	Cash balance at the end of the period covered by this Progress Update	Variance between Latest Cumulative Expenditure Reported and Cumulative Budget	PR's explanation of variance (1) between cumulative budget and cumulative expenditure and (2) between cumulative disbursement and cumulative expenditure (mandatory for amounts above \$50,000 or equivalent and with more than 10% variance)	LFA Comments on the PR's overall verification efforts of SR expenditure and the explanations of variance provided
MOH Belize	41,872.00	96,625.00	40,125.00	96,625.00	40,125.00			#¡\VALOR!	Delays in initiation of project and delays in organizing activities due to shifting of staff and resources to	
MOH Costa Rica	41,920.00	125,250.00	34,500.00	125,250.00	34,500.00	-		125,250.00	#####	
MOH El Salvador		157,120.00	-	157,120.00	-	-	-	157,120.00	#####	
MOH Haiti	41,893.00	200,000.00	114,666.67	200,000.00	114,666.67	-	114,666.67	200,000.00	They fulfilled the conditions precedent and the agreement was signed without major delays. However	
MOH Panama	41,989.00	117,625.00	86,956.67	117,625.00	86,956.67	10,164.50	76,792.17	107,460.50	Delays in in initiation of project and delays in implementation of activities due to the close of the fiscal y	
MOH Dominican Rep	41,995.00	168,614.09	56,292.00	168,614.09	56,292.00	-	56,292.00	168,614.09	Delays in signing of the subaward signing due to ongoing negotiations regarding several Global Fund	
		-	-	-	-	-	-	#¡\VALOR!		
		-	-	-	-	-	-	-		
		-	-	-	-	-	-	-		
		-	-	-	-	-	-	-		
		-	-	-	-	-	-	-		
		-	-	-	-	-	-	-		
		-	-	-	-	-	-	-		

Name of Entity	Date of Most Recent Disbursement to SR	Budget for Reporting Period*	Disbursed during Reporting Period*	Cumulative Budget through period of Progress Update*	Cumulative Disbursed through period of Progress Update*	Cumulative Actual Expenditure through period covered by this Progress Update	Cash balance at the end of the period covered by this Progress Update	Variance between Latest Cumulative Expenditure Reported and Cumulative Budget	PR's explanation of variance (1) between cumulative budget and cumulative expenditure and (2) between cumulative disbursement and cumulative expenditure (mandatory for amounts above \$50,000 or equivalent and with more than 10% variance)	LFA Comments on the PR's overall verification efforts of SR expenditure and the explanations of variance provided
		-	-	-	-	-	-	-		
		-	-	-	-	-	-	-		
		-	-	-	-	-	-	-		
		-	-	-	-	-	-	-		
		-	-	-	-	-	-	-		
		-	-	-	-	-	-	-		
		-	-	-	-	-	-	-		
		-	-	-	-	-	-	-		
		-	-	-	-	-	-	-		
		-	-	-	-	-	-	-		
TOTAL		865,234.09	332,540.34	865,234.09	332,540.34	10,164.50		#iVALOR!		

*TOTAL amount for these columns should reconcile with relevant amounts under "1b Disbursed to Sub Recipients" in Section 3A"

** Where the number of SRs is significant (over 10), SRs with small budgets (less than \$50,000 or EUR equivalent cumulative each) do not need to be reported separately and the figures can be aggregated in a group called "Other Minor SRs"

Annex 1 *Use these worksheets to provide more detailed information on EFR variances if necessary*